

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				ATTY. DOCKET NO. 2066.0010009	APPLICATION NO. 10/677,480		
				FIRST NAMED INVENTOR Mark G. GILBRETH			
				FILING DATE October 3, 2003	ART UNIT 2838		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
AA1	2,864,244	12/1958	Prachar				
AB1	3,124,924	3/1964	Smith				
AC1	3,250,973	5/1966	Dawson				
AD1	3,569,809	3/1971	Comer				
AE1	3,591,844	7/1971	Schonebeck				
AF1	3,703,076	11/1972	Hagemeister				
AG1	3,724,214	4/1973	Bryant				
AH1	3,764,815	10/1973	Habock <i>et al.</i>				
AI1	3,809,914	5/1974	Kilgore <i>et al.</i>				
AJ1	3,829,758	8/1974	Studtmann				
AK1	3,937,974	2/1976	Lafuze				
FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
AL1	0 472 294	2/1992	EP			Yes	
AM1	0 679 800	11/1995	EP			No	
AN1	0 837 231	4/1998	EP			Yes	
AO1	0 901 218	3/1999	EP			No	
AP1	WO 94/27359	11/1994	WO			Yes	
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
AR	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.					
AS	1	Japanese Patent Application Abstract entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.					
AT	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.					
EXAMINER <i>Ref. lan</i>				DATE CONSIDERED <i>1/25/06</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>g</i>	AA2	3,991,357	11/1976	Kaminski			
<i>g</i>	AB2	4,005,581	2/1977	Aanstad			
<i>l</i>	AC2	4,015,187	3/1977	Sasaki <i>et al.</i>			
<i>h</i>	AD2	4,039,914	8/1977	Steigerwald <i>et al.</i>			
<i>h</i>	AE2	4,093,869	6/1978	Hoffmann <i>et al.</i>			
<i>h</i>	AF2	4,119,861	10/1978	Gocho			
<i>h</i>	AG2	4,258,424	3/1981	Giras <i>et al.</i>			
<i>h</i>	AH2	4,265,099	5/1981	Johnson <i>et al.</i>			
<i>h</i>	AI2	4,292,534	9/1981	Diegel <i>et al.</i>			
<i>h</i>	AJ2	4,340,820	7/1982	Meyer-Pittroff <i>et al.</i>			
<i>h</i>	AK2	4,401,938	8/1983	Cronin			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>h</i>	AL2	WO 98/25014	6/1998	WO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Yes No
<i>h</i>	AM2	WO 99/32762	7/1999	WO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Yes No
<i>h</i>	AN2	WO 99/52193	10/1999	WO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Yes No
<i>h</i>	AO2	WO 00/28191	5/2000	WO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Yes No
<i>h</i>	AP2	6-108879	04/1994	Japan	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>2</u>	English Language Abstract for Japanese Patent 6-108879 (April 1994).
	AS	<u>2</u>	
	AT	<u>2</u>	

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R. C. on

DATE CONSIDERED

11/25/06

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
A	AA3	4,442,385	4/1984	Van Sickle			
A	AB3	4,471,229	9/1984	Plohn et al.			
A	AC3	4,481,459	11/1984	Mehl et al.			
A	AD3	4,498,551	2/1985	Arbisi			
A	AE3	4,551,980	11/1985	Bronicki			
A	AF3	4,560,364	12/1985	Cohen.			
A	AG3	4,565,957	1/1986	Gary et al.			
A	AH3	4,684,814	8/1987	Radomski			
A	AI3	4,709,318	11/1987	Gephart et al.			
A	AJ3	4,719,550	1/1988	Powell et al.			
A	AK3	4,730,397	3/1988	Weiford et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3						Yes
	AM3						No
	AN3						Yes
	AO3						No
	AP3						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>3</u>	
	AS	<u>3</u>	
	AT	<u>3</u>	

EXAMINER	<i>Les. G. Ann</i>	DATE CONSIDERED	<i>11/25/03</i>
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>g</i>	AA4	4,754,607	7/1988	Mackay		<i>T</i>	<i>PT</i>
<i>g</i>	AB4	4,757,686	7/1988	Kawamura <i>et al.</i>	<i>T</i>	<i>T</i>	<i>PT</i>
<i>g</i>	AC4	4,772,802	9/1988	Glennon <i>et al.</i>			
<i>g</i>	AD4	4,786,852	11/1988	Cook			
<i>g</i>	AE4	4,802,882	2/1989	Heidrich			
<i>g</i>	AF4	4,830,412	5/1989	Raad <i>et al.</i>			
<i>g</i>	AG4	4,833,887	5/1989	Kawamura <i>et al.</i>			
<i>g</i>	AH4	4,841,216	6/1989	Okada <i>et al.</i>			
<i>g</i>	AI4	4,862,009	8/1989	King			
<i>g</i>	AJ4	4,883,973	11/1989	Lakey <i>et al.</i>			
<i>g</i>	AK4	4,908,565	3/1990	Cook <i>et al.</i>	<i>✓</i>	<i>✓</i>	<i>PT</i>

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes
	AM4						No
	AN4						Yes
	AO4						No
	AP4						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	4	
	AS	4	
	AT	4	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>J</i>	AA5	4,939,441	7/1990	Dhyanchand			
<i>J</i>	AB5	4,955,199	9/1990	Kawamura			
<i>J</i>	AC5	4,967,096	10/1990	Diemer <i>et al.</i>			
<i>J</i>	AD5	4,968,926	11/1990	Dhyanchand			
<i>J</i>	AE5	4,982,569	1/1991	Bronicki			
<i>J</i>	AF5	5,013,929	5/1991	Dhyanchand			
<i>J</i>	AG5	5,015,941	5/1991	Dhyanchand			
<i>J</i>	AH5	5,029,062	7/1991	Capel			
<i>J</i>	AI5	5,038,566	8/1991	Hara			
<i>J</i>	AJ5	5,055,764	10/1991	Rozman <i>et al.</i>			
<i>J</i>	AK5	5,057,763	10/1991	Torii <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AO5						Yes No
	AP5						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	5	
	AS	5	
	AT	5	

EXAMINER *Jeff. Lai* DATE CONSIDERED *1/25/06*

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>RL</i>	AA6	5,068,590	11/1991	Glennon <i>et al.</i>			
<i>RL</i>	AB6	5,088,286	2/1992	Muraji			
<i>RL</i>	AC6	5,097,195	3/1992	Raad <i>et al.</i>			
<i>RL</i>	AD6	5,115,183	5/1992	Kyoukane <i>et al.</i>			
<i>RL</i>	AE6	5,158,504	10/1992	Stocco			
<i>RL</i>	AF6	5,191,520	3/1993	Eckersley			
<i>RL</i>	AG6	5,214,371	5/1993	Naidu			
<i>RL</i>	AH6	5,237,260	8/1993	Takakado <i>et al.</i>			
<i>RL</i>	AI6	5,250,890	10/1993	Tanamachi <i>et al.</i>			
<i>RL</i>	AJ6	5,252,860	10/1993	McCarty <i>et al.</i>			
<i>RL</i>	AK6	5,260,637	11/1993	Pizzi			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL6						Yes
	AM6						No
	AN6						Yes
	AO6						No
	AP6						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	6	
	AS	6	
	AT	6	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>b</i>	AA7	5,281,905	1/1994	Dhyanchand <i>et al.</i>			
<i>A</i>	AB7	5,291,106	3/1994	Murty <i>et al.</i>	<i>T</i>	<i>T</i>	<i>V</i>
<i>A</i>	AC7	5,309,081	5/1994	Shah <i>et al.</i>			
<i>A</i>	AD7	5,325,043	6/1994	Parro			
<i>A</i>	AE7	5,345,154	9/1994	King			
<i>A</i>	AF7	5,363,032	11/1994	Hanson <i>et al.</i>			
<i>A</i>	AG7	5,364,309	11/1994	Heidrich <i>et al.</i>			
<i>A</i>	AH7	5,373,195	12/1994	De Doncker <i>et al.</i>			
<i>A</i>	AI7	5,381,081	1/1995	Radun			
<i>A</i>	AJ7	5,387,859	2/1995	Murugan <i>et al.</i>			
<i>A</i>	AK7	5,404,092	4/1995	Gegner			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes
	AM7						No
	AN7						Yes
	AO7						No
	AP7						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<i>Z</i>	
	AS	<i>Z</i>	
	AT	<i>Z</i>	

EXAMINER

Ben. L. Bar

DATE CONSIDERED

1/25/06

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA8	5,406,797	4/1995	Kawamura			
	AB8	5,428,275	6/1995	Carr et al.			
	AC8	5,430,362	7/1995	Carr et al.			
	AD8	5,477,091	12/1995	Fiorina et al.			
	AE8	5,488,286	1/1996	Rozman et al.			
	AF8	5,495,162	2/1996	Rozman et al.			
	AG8	5,495,163	2/1996	Rozman et al.			
	AH8	5,510,696	4/1996	Naidu et al.			
	AI8	5,512,811	4/1996	Latos et al.			
	AJ8	5,544,484	8/1996	Voss et al.			
	AK8	5,545,090	8/1996	Kirschen			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	8	
	AS	8	
	AT	8	

EXAMINER

DATE CONSIDERED 125-100

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA9	5,546,742	8/1996	Shekhawat et al.			
	AB9	5,550,410	8/1996	Titus			
	AC9	5,550,455	8/1996	Baker			
	AD9	5,559,421	9/1996	Miyakawa			
	AE9	5,563,802	10/1996	Plahn et al.			
	AF9	5,568,023	10/1996	Grayer et al.			
	AG9	5,572,108	11/1996	Windes			
	AH9	5,581,168	12/1996	Rozman et al.			
	AI9	5,587,647	12/1996	Bansal et al.			
	AJ9	5,594,322	1/1997	Rozman et al.			
	AK9	5,637,987	6/1997	Fattic et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL9						Yes No
	AM9						Yes No
	AN9						Yes No
	AO9						Yes No
	AP9						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	9	
	AS	9	
	AT	9	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>l</i>	AA10 5,646,458	7/1997	Bowyer et al.			
<i>l</i>	AB10 5,656,915	8/1997	Eaves			
<i>l</i>	AC10 5,743,227	4/1998	Jacquet et al.			
<i>l</i>	AD10 5,767,637	6/1998	Lansberry			
<i>l</i>	AE10 5,789,881	8/1998	Egami et al.			
<i>l</i>	AF10 5,799,484	9/1998	Nims			
<i>l</i>	AG10 5,819,524	10/1998	Bosley et al.			
<i>l</i>	AH10 5,847,522	12/1998	Barba			
<i>l</i>	AI10 5,903,116	5/1999	Geis et al.			
<i>l</i>	AJ10 5,905,346	5/1999	Yamada et al.			
<i>l</i>	AK10 5,929,537	7/1999	Glennon			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL10					Yes
	AM10					No
	AN10					Yes
	AO10					No
	AP10					Yes
						No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>10</u>	
	AS	<u>10</u>	
	AT	<u>10</u>	

EXAMINER	<i>lcp. lcn</i>	DATE CONSIDERED	<i>11/25/06</i>
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U.S. PATENT DOCUMENTS

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R	AA11	5,936,312	8/1999	Koide <i>et al.</i>			
R	AB11	5,939,794	8/1999	Sakai <i>et al.</i>			
R	AC11	5,946,086	8/1999	Bruce			
R	AD11	5,982,645	11/1999	Levran <i>et al.</i>			
R	AE11	5,998,976	12/1999	Steffan			
R	AF11	6,005,297	12/1999	Sasaki <i>et al.</i>			
R	AG11	6,020,713	2/2000	Geis <i>et al.</i>			
R	AH11	6,023,135	2/2000	Gilbreth <i>et al.</i>			
R	AI11	6,031,294	2/2000	Geis <i>et al.</i>			
R	AJ11	6,051,951	4/2000	Arai <i>et al.</i>			
R	AK11	6,054,776	4/2000	Sumi			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL11						Yes No
	AM11						Yes No
	AN11						Yes No
	AO11						Yes No
	AP11						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>11</u>	
	AS	<u>11</u>	
	AT	<u>11</u>	

EXAMINER	<i>R. G. Bar</i>	DATE CONSIDERED	<i>1/25/06</i>
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>f</i> AA12	6,064,122	5/2000	McConnell	<i>T</i>	<i>T</i>	<i>T</i>
<i>f</i> AB12	6,087,734	7/2000	Maeda et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>f</i> AC12	6,107,775	8/2000	Rice et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>L</i> AD12	6,147,414	11/2000	McConnell et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>L</i> AE12	6,153,942	11/2000	Roseman et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>L</i> AF12	6,169,332 B1	1/2001	Taylor et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>L</i> AG12	6,169,334 B1	1/2001	Edelman	<i>T</i>	<i>T</i>	<i>T</i>
<i>L</i> AH12	6,175,210 B1	1/2001	Schwartz et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>L</i> AI12	6,194,794 B1	2/2001	Lampe et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>L</i> AJ12	6,487,096	11/2002	Gilbreth et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>L</i> AK12	6,487,096 B1	11/2001	Bhargava	<i>T</i>	<i>T</i>	<i>T</i>

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL12					Yes
	AM12					No
	AN12					Yes
	AO12					No
	AP12					Yes

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>12</u>	
AS	<u>12</u>	
AT	<u>12</u>	

EXAMINER	<i>Ref. Sam</i>	DATE CONSIDERED	<i>1/25/06</i>
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EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.